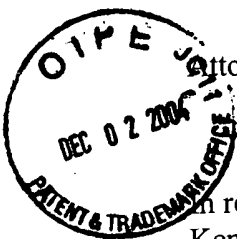


2165
[Signature]



Attorney Docket No. 3001 P 015

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re U.S. Continuation Patent Application of
Kenneth Kiron and Kevin S. Bander

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) Examiner: Unassigned
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) Art Unit: 2165
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Application No. 09/840,583
Confirmation No. 4046
Filed April 23, 2001

For: Open End Mutual Fund Securitization Process

STATUS INQUIRY

Commissioner For Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

To date, no communication since the Notice of Publication of Application dated January 9, 2003 has been received from the Patent and Trademark Office. Please advise the undersigned of the status of this Application and when an Office Action may be expected.

Respectfully submitted,

Dated: November 29, 2004

By: *James P. Muraff*
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CERTIFICATE OF MAILING (37 C.F.R. § 1.8a)

I hereby certify that this correspondence is, on the date shown below, being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on November 29, 2004.

Gillian Gardner
Gillian Gardner/214161